



# Riboswitches: the oldest mechanism for the regulation of gene expression?

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**Riboswitches are structures that form in mRNA and regulate gene expression in bacteria. Unlike other known RNA regulatory structures, they are directly bound by small ligands. The mechanism by which gene expression is regulated involves the formation of alternative structures that, in the repressing conformation, cause premature termination of transcription or inhibition of translation initiation. Riboswitches regulate several metabolic pathways including the biosynthesis of vitamins (e.g. riboflavin, thiamin and cobalamin) and the metabolism of methionine, lysine and purines. Candidate riboswitches have also been observed in archaea and eukaryotes. The taxonomic diversity of genomes containing riboswitches and the diversity of molecular mechanisms of regulation, in addition to the fact that direct interaction of riboswitches with their effectors does not require additional factors, suggest that riboswitches represent one of the oldest regulatory systems.**

The regulation of gene expression in bacteria involving a choice between two alternative structures in the RNA transcript is a well-known phenomenon [1,2]. In most cases one of the alternative structures (i.e. the repressing conformation) contains a terminator of transcription or a paired region covering the translation initiation site, whereas in the second alternative structure (i.e. the non-repressing conformation) this regulatory element of the secondary structure is destroyed and the gene(s) are expressed. Which one of these structures will fold depends on the concentration of the target metabolite (e.g. a product of the regulated pathway or a compound to be catabolized) sensed via an intermediate molecule [3]. For example, a regulator protein might bind to one of the structures in the presence of an effector (e.g. TRAP protein [4] or anti-terminators of the BglG/SacY family [5]) or uncharged tRNA might stabilize one of the conformations (e.g. T-boxes [6]). In classic attenuators of *Escherichia coli*, the formation of alternative structures depends on the relative rate of translation by the ribosome (as determined by the concentration of charged tRNAs in attenuators of amino acid operons [1]) and transcription by the RNA polymerase (correlated with the concentration of available nucleotide triphosphates, for example, in the *pyrBI* operon [7]).

However, recently it was demonstrated that small molecules can bind directly regulatory mRNA structures, called riboswitches [8]. Riboswitches were shown to regulate several metabolic pathways involved in the biosynthesis of vitamins, amino acids and purines. Although the history behind these findings is diverse, common patterns are emerging in all systems that have been studied.

## Regulation by riboswitches

Repression of the riboflavin operon of *Bacillus subtilis* was initially thought to be due to a regulator protein. However, two candidates for this role, RibC and RibR, which were identified genetically, were later shown to regulate the *rib* operon indirectly. Both proteins have flavokinase activity and thus decrease the concentration of flavin mononucleotide (FMN), which represses the *rib* operon [9–11]. The repression was shown to involve premature termination of transcription, generating a short transcript corresponding to the 5'-untranslated leader of the operon mRNA, and to be abolished by mutations in this region [11]. Sequences that could fold into a conserved RNA structure, called the *RFN*-element, were found upstream of several genes involved in riboflavin biosynthesis in a variety of bacterial genomes, and it was suggested that regulation by the *RFN*-element involved direct binding of FMN to mRNA [12]. When more bacterial genome sequences became available, comparative analysis led to the suggestion that the regulatory mechanism is based on the formation of alternative RNA structures, and that the regulation causes premature termination of transcription or inhibition of translation initiation [13]. Both predictions turned out to be correct. Indeed, experiments demonstrated that in *B. subtilis*, the *rib* operon is regulated at the level of transcription [14,15], whereas the *ypaA* gene, positioned elsewhere on the chromosome, is regulated at the level of translation [14]. The secondary structure of the *RFN*-element that was predicted by the comparative analysis was also confirmed.

Similarly, the regulation of thiamin-biosynthetic genes was thought to be mediated by a thiamin pyrophosphate (TPP)-dependent regulatory protein. TPP was later shown to regulate directly translation of the *thiCOGE* operon in *Rhizobium etli* via a conserved sequence fragment (*thi*-box) and a stem-loop mRNA structure overlapping the ribosome-binding site [16]. A more extensive

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structure involving the formation of alternative hairpins (*THI*-element) was predicted by comparative analysis [17], and observed in experiments in which the structure was shown to regulate translation of the *thiM* gene in *E. coli* [18], translation and transcription of *thiC* in *E. coli* [18] and transcription of the thiamin (*tenA*) operon in *B. subtilis* [15].

Another vitamin-related compound, adenosylcobalamin (a derivative of vitamin B<sub>12</sub>), was shown to inhibit ribosome binding to the leader sequence of the *btuB* gene of *E. coli* and *Salmonella typhimurium* [19] and to influence translation of the *cob* operon of *S. typhimurium* [20]. Direct binding of adenosylcobalamin to the leader region of *btuB* was demonstrated [21]. Models for the cobalamin-binding structures in the leader regions of these mRNAs were suggested on the basis of chemical probing, mutagenesis and computer prediction [20,21] and enhanced by comparative analysis, leading to the prediction of the *B12*-element structure [22].

The most recent observations concern the regulation of genes involved in nucleotide and amino acid metabolism. The S-box structure, initially defined by comparative analysis [23], was shown to regulate the transcription of *metE*, *yitJ*, *ykrW* and several other genes in *B. subtilis* [24–26] and *cysH* in *B. subtilis* and *Bacillus anthracis* [26], after binding to S-adenosylmethionine.

A regulatory mechanism involving an upstream terminator for *lysC* in *B. subtilis* has been demonstrated [27]. A lysine riboswitch (L-box or *LYS*-element) was initially suggested [28] and then studied using comparative genomics [29,30] and in structural mapping experiments [30]. It was shown that in *B. subtilis* lysine binds to the L-box structure upstream of *lysC* [30]. This causes a transition to the structure with a terminator, and the subsequent premature termination of transcription.

Riboswitches regulating various purine biosynthesis and transport genes after binding of purines have been observed in a variety of bacteria and studied experimentally in *B. subtilis* [28,31], again, despite earlier evidence suggesting the involvement of an unknown protein factor [32]. The purine riboswitch (G-box) regulon in *B. subtilis* includes *pur*, *xpt-pbuX*, *pbuG*, *nupG* and *pbuE* (*ydhL*) operons [31]. All operons except *ydhL* are repressed by guanine and hypoxanthine; *ydhL* is activated [31]. Guanine, xanthine and hypoxanthine, but not adenine, bind to the G-box of *xtp-pbuX*. Finally, binding of adenine to the G-box of *ydhL* was shown by Winkler *et al.* [8].

### Common features of riboswitches

To date, at least six RNA elements have been observed to regulate hundreds of genes in many different genomes (e.g. ~2% of the genome complement of *B. subtilis* are regulated by these RNA elements) [8]. What are common features of riboswitches?

From a biochemical point of view, their most exciting feature is that they all seem to be involved in direct binding by small molecules. In all cases except for the lysine riboswitch the effector ligands contain a nitrogen-containing heterocyclic core (Table 1).

All known riboswitches fold into compact RNA secondary structures with a base stem, a central multi-loop and several branching hairpins (Figure 1). The

structures were derived by comparative analysis and are supported by complementary substitutions and concur with the available experimental data. The comparison of purine riboswitch structures suggested recently [28,31] illustrates the importance of comparative analysis of RNA structures, as opposed to simple energy minimization methods. Sequence conservation is not confined to paired regions: numerous positions that seem to be single-stranded are also conserved. These positions are likely to be involved in tertiary interactions [22,29,30] and ligand binding.

From the genomic point of view, two striking properties set riboswitches apart from the majority of other regulatory systems. The first feature is the diversity of organisms in which riboswitches have been found (Table 1). The most diverse is the distribution of *THI*-elements, which have been observed in eubacteria, archaea and eukaryotes. Two other vitamin-related riboswitches, *RFN*- and *B12*-elements, were found in the genomes of almost all bacterial taxonomic groups. By contrast, S-boxes, G-boxes and *LYS*-elements (L-boxes) were observed mainly in Gram-positive bacteria from the *Bacillus/Clostridium* group, Thermotogale and the *Bacteroidetes/Chlorobi* group.

The second feature is that riboswitches regulate several different processes (Table 1). The most typical situation, which involves the standard attenuation mechanism, is shown in Figure 2a. In the repressing condition (Figure 2a,i) two RNA structures are formed: the ligand binding stabilizes the riboswitch, and the regulatory hairpin forms. This hairpin can either terminate transcription or inhibit translation initiation by sequestering the ribosome-binding site. In the derepressing condition, the riboswitch is not bound by the ligand and an alternative structure folds. This structure is formed by complementary regions in the riboswitch base stems and part of the regulatory hairpin (Figure 2a,ii,iii). A slight variation occurs when the riboswitch directly sequesters the translation initiation site (Figure 2b).

If the parity is changed, then the riboswitch is an alternative to the regulatory hairpin (Figure 2c). In this case, gene expression is activated in the presence of the ligand, and repressed in its absence. This type of regulation was demonstrated for purine efflux pump *ydhL* (*pbuE*) [31] and predicted for lysine catabolic operons [29].

It has been suggested that thiamine riboswitches in eukaryotes regulate splicing or mRNA stability [33] but experimental or detailed comparative studies of these systems have not been published yet. Another hypothetical type of regulation by the *B12*-element and S-box riboswitches is the activation of gene expression via repression of antisense transcripts ([22]; A.G. Vitreschak *et al.*, unpublished). Finally, a complicated secondary structure that is likely to be involved in the activation of translation of human B12-dependent methionine synthase gene by B<sub>12</sub> was identified; however, possible involvement of a protein factor was not excluded [34]. This structure has nothing in common with the *B12*-element, and preliminary analysis shows that it is not conserved upstream of the orthologous rat gene.

**Table 1. Known riboswitches and their properties**

Riboswitch	Functional system	Ligand	Preliminary observations <sup>a</sup>	Computer analysis <sup>a</sup>	Experiment <sup>a</sup>	Mechanisms
<i>RFN</i> -element	Riboflavin biosynthesis and transport	FMN (flavin mononucleotide)	[11]	[12,13]	[14,15]	Attenuation of transcription: <i>Bacillus/Clostridium</i> group, Thermotogales and <i>Fusobacterium</i> Inhibition of translation initiation: <i>Bacillus/Clostridium</i> group ( <i>ypaA</i> ), proteobacteria and actinobacteria, <i>Thermus/Deinococcus</i> group, and <i>Chloroflexus</i>
<i>THI</i> -element	Thiamin biosynthesis; transport of thiamin and related compounds	TPP (thiamin pyrophosphate)	[16]	[17,33]	[15,18,33]	Attenuation of transcription: <i>Bacillus/Clostridium</i> group, <i>Deinococcus</i> , Thermotogales and <i>Fusobacterium</i> Inhibition of translation initiation: proteobacteria, <i>Bacteroides/Chlorobi</i> group, <i>Chlorobium</i> Inhibition of translation initiation (direct sequestering of the Sd-box) cyanobacteria, actinobacteria and <i>Thermoplasma</i> (Archaea) Dual action: some transporters from the <i>Bacillus/Clostridium</i> group 3'-UTR in plant genes: regulation of polyadenylation? Untranslated intron in fungi: regulation of splicing?
<i>B12</i> -element	Cobalamin biosynthesis; transport of cobalamin and related compounds; cobalt transport; cobalamin-independent isozymes of cobalamin-dependent enzymes	Coenzyme B12 (adenosylcobalamin)	[19,20]	[22]	[21]	Attenuation of transcription: <i>Bacillus/Clostridium</i> group, Thermotogales, <i>Fusobacterium</i> and <i>Chloroflexus</i> Inhibition of translation initiation: proteobacteria, <i>Bacteroides/Chlorobi</i> group, <i>Deinococcus</i> , actinobacteria and cyanobacteria
S-box	Methionine biosynthesis and transport; SAM metabolism	SAM (S-adenosylmethionine)	[23,51]	[23,26,34]	[24–26]	Attenuation of transcription: <i>Bacillus/Clostridium</i> group, <i>Petrotoga</i> and <i>Chloroflexus</i> Inhibition of translation initiation: <i>Bacteroidetes/Chlorobi</i> group Inhibition of translation initiation (direct sequestering of the Sd-box): actinobacteria Unknown: <i>Deinococcus</i> , <i>Xanthomonas</i> and <i>Geobacter</i>
G-box or XptR regulon	Purine metabolism and transport	Purines	[32]	[29,31]	[29,31]	Attenuation of transcription: <i>Bacillus/Clostridium</i> groups, <i>Fusobacterium</i> Attenuation of transcription (inhibition of premature termination): purine efflux transporter of <i>Bacillus subtilis</i> Unknown: <i>Vibrio vulnificus</i>
L-box or <i>LYS</i> -element	Lysine biosynthesis, transport and catabolism	Lysine	[27,28]	[28,30]	[30]	Attenuation of transcription: <i>Bacillus/Clostridium</i> group and Thermotogales Inhibition of translation initiation: $\gamma$ -proteobacteria (i.e. Enterobacteriaceae, Pasteurellaceae, Vibrionaceae and <i>Shewanella</i> ) Attenuation of transcription (inhibition of premature termination): lysine utilization operons of <i>Thermoanaerobacter</i> and <i>Fusobacterium</i>

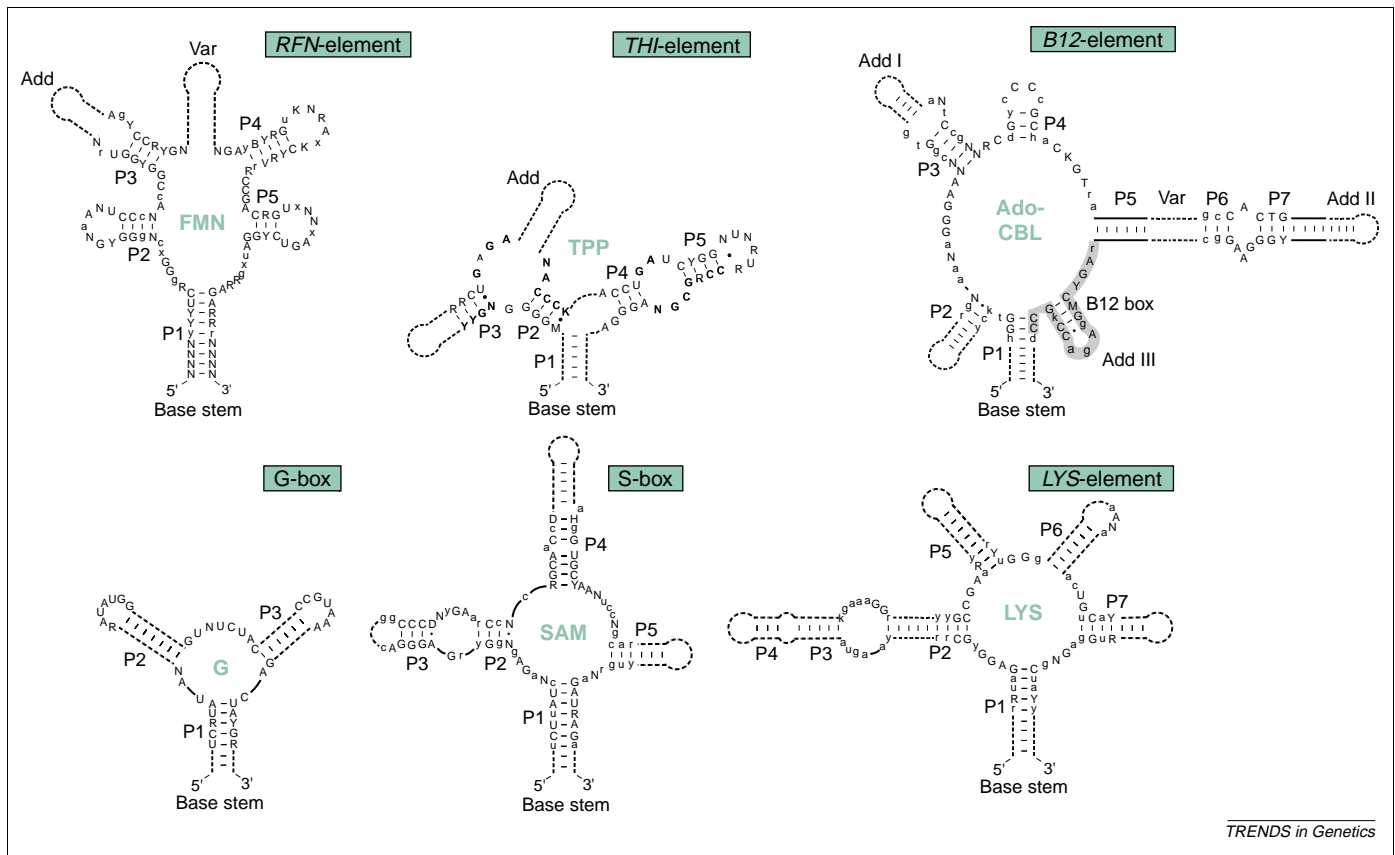
<sup>a</sup>Further details can be obtained from the references listed in the table.

### Evolution of riboswitches

Notably, there is a correlation between the preferred mechanism of regulation and taxonomy. Thus, Gram-positive bacteria from the *Bacillus/Clostridium* group, Thermotogales and *Fusobacterium* tend to have riboswitches that act as attenuators of transcription, whereas proteobacteria usually have riboswitches that control the initiation of translation. This is not an absolutely universal rule because it does not take into account cases of dual regulation (e.g. the *ypaA* genes from the *Bacillus/Clostridium* group, which are regulated both on the transcriptional level [35] and on the translational [14]

level). This correlation can also be obscured by horizontal transfer, when a gene or an operon together with the regulating riboswitch is transferred between taxa. In addition to overall similarity, many riboswitches have taxon-specific sequence and taxon-specific structural features [13,22].

In addition to the normal vertical descent, the evolution of riboswitches involved numerous genome-specific duplications and horizontal transfers. All these events are easily discernible in phylogenetic trees. Orthologous riboswitch elements evolving by speciation form branches corresponding to orthologous genes that are regulated by



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**Figure 1.** Riboswitch structures. The structures of riboswitches contain conserved base-paired regions and invariant (uppercase) and highly conserved (lowercase) positions, although some parts of the structures are variable (Var) or facultative (Add). The conserved helices are numbered independently P1 through P7, P1 being the base stem; regions identified in early experiments (*thi*-element and *B12*-element) are highlighted in bold and in gray, respectively. Abbreviations: Ado-CBL, adenosylcobalamin; FMN, flavin mononucleotide; G, guanine; LYS, lysine; SAM, S-adenosylmethionine; TPP, thiamin pyrophosphate.

these riboswitches. Recently duplicated elements form small genome-specific branches; many examples of these branches can be seen in the *B12*-element tree [22]. Notably, the same duplication might have happened independently on several occasions. For example, *RFN*-elements of the *rib*-operons and genes *ypaA* are closely related in the genomes of *Staphylococcus aureus* and *Clostridium* spp. [13], whereas *B12*-elements of *btuR* and *btuF* are similar in *Chloroflexus aurantiacus* and *Thermoanaerobacter tengcongensis* [22].

Horizontal transfer is evident when a phylogenetic tree contains a cluster of riboswitches corresponding to orthologous genes from distant bacteria; more exactly, a riboswitch is embedded in a cluster of riboswitches regulating the same gene in distant genomes. Additional support for these observations is provided by the phylogenetic trees of genes. Often the gene regulated by a riboswitch belongs to the branch formed by the genes regulated by riboswitches from the 'recipient' cluster, and thus the gene tree shows the same signs of horizontal transfer.

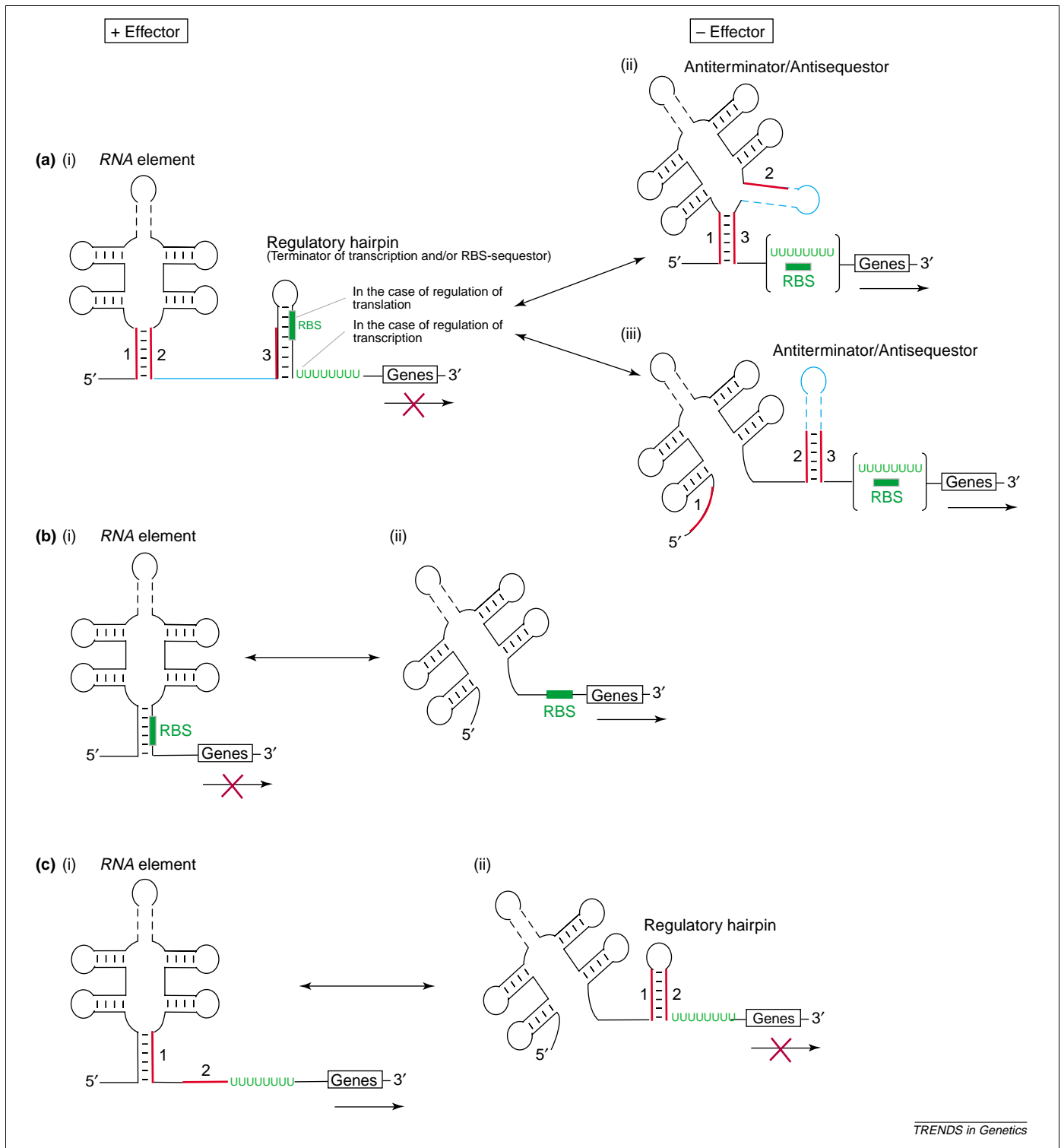
An interesting example is the riboflavin operon of two  $\gamma$ -proteobacteria from the Pasteurallaceae family, *Haemophilus ducreyi* and *Actinobacillus pleuropneumoniae*, that has been transferred, together with the upstream *RFN*-element, from some Gram-positive bacteria belonging to the *Bacillus/Clostridium* group [13]. Similarly, the *cbi* operon of enterobacteria, together with the upstream

*B12*-element, probably originates in the *Bacillus/Clostridium* group [22]. A short-distance transfer was observed in *Pseudomonas* spp.: a *RFN*-element upstream of *ribE2* gene belonging to the candidate riboflavin operon of *Pseudomonas aeruginosa* is closely related to the *RFN*-elements of single *ribH2* genes in other pseudomonads [13]. Because riboswitch-based regulation does not require any additional proteins or genome-specific finely tuned factors, such as translation and transcription rates, riboswitches should be highly amenable to horizontal transfer. Therefore, one might argue that *THI*-elements of archaea are horizontally transferred from eubacteria. This is supported by the fact that archaeal *THI*-elements are restricted to one narrow taxonomic group, *Thermoplasma* spp. However, the genes that are regulated by *THI*-elements, the candidate transporters *thiT*, are also taxon-specific [17].

### Riboswitches and other regulatory systems

Taken separately, these properties are not unique for the riboswitch systems. Aptamers, RNA structures that can directly interact with small molecules, have been generated by *in vitro* selection for a wide range of ligands [36,37]. Moreover, an artificial regulatory construct involving an aptamer structure was shown to be effective *in vivo*: the addition of the aptamer-binding compound stabilized a hairpin that interfered with initiation of translation of a reporter gene [38]. It is possible to use aptamers for the regulation of cellular processes, such as the cell cycle [39].





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**Figure 2.** The mechanisms of the riboswitch regulation involve the formation of alternative structures. The effector stabilizes the repressing conformation in (a)(i) and (b)(i), whereas in (c)(ii) the repressing conformation folds in the absence of the effector. (a) Attenuation of transcription [via premature termination, (ii)] or translation [via inhibition of initiation, (iii)]. (b) Attenuation of translation involves the direct sequestering of the translation initiation site. (c) Activation via inhibition of premature termination of transcription. The regions involved in alternative interactions are shown in red. Regulatory elements, such as ribosome binding sites (RBS) or poly-U tracts in terminators are shown in green.

Functional similarity between aptamers and riboswitches has been suggested [40]. It should be noted however that there is no obvious similarity between G-boxes, *RFN*- and *B12*-elements and known aptamers for xanthine and guanine [41], FMN [42] and cyanocobalamin (vitamin B<sub>12</sub>) [43], respectively.

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Common regulatory signals exist in bacteria and archaea, and in bacteria and eukaryotes. In particular, the transcriptional regulator BirA has the same recognition signal in eubacteria and archaea [44], whereas BARBIE-box regulates the transcription of phenobarbital-induced genes in *Bacillus megaterium*, insects and

mammals [45]. One more example is given by the selenocystein insertion sequence (SECIS) RNA elements that guide the incorporation of selenocysteine in bacterial, archaeal and eukaryotic selenoproteins [46]. Notably, in bacteria SECIS hairpins occur immediately downstream of the selenocysteine UGA codons, whereas in archaea and eukaryotes they are positioned in 3' untranslated regions. Thus, the mechanism of their action might be different.

The diversity of functional mechanisms also has been observed in other regulatory systems. For example, TRAP, a regulator of tryptophan metabolism in Gram-positive bacteria, is involved in transcription attenuation of the *trpEDCFBA* operon, translation attenuation of the proximal gene of the operon, *trpE* (via a Shine-Dalgarno-box sequestering hairpin) and translation attenuation of *trpG* (via direct binding to the translation initiation site) [4].

Taken together, the properties of riboswitches illustrate their ancient origin. Their wide distribution, combination of generic and taxon-specific structural elements, and, most importantly, independence of *trans*-acting protein factors make them ideal candidates for the role of regulators in the RNA world. Their high structural conservation can be explained by strong functional pressure: minor differences in S-boxes of *cysH* in *B. subtilis* and *B. anthracis* lead to a two order of magnitude difference in affinity towards SAM [25].

Many functional systems regulated by riboswitches also have other modes of regulation. S-boxes are replaced by methionine-specific T-boxes in *Lactobacilli* and by transcriptional factors in *Streptococci* and  $\gamma$ -proteobacteria (A.G. Vitreschak *et al.*, unpublished). Cobalamin metabolism is likely to be regulated by a transcription factor in some archaea [47]. Purine metabolism is regulated by (unrelated) repressors of transcription PurR in bacteria from the *Bacillus/Clostridium* group [48] and in  $\gamma$ -proteobacteria [49]. Moreover, lysine metabolism in  $\gamma$ -proteobacteria is regulated not only by *LYS*-elements but also by the *LysR* repressor [50]. Finally, in *B. subtilis*, *xpt-pbuX* and *pbuG* are under dual regulation by purine riboswitches and PurR [31,48].

If the hypothesis of the ancient origin of riboswitches is correct, these other systems are relative newcomers and it should be interesting to study the evolution of old and new regulatory systems of the same metabolic pathways. Because riboswitches are highly conserved, they are an ideal tool for metabolic reconstruction and computational annotation of gene function, particularly in less-studied genomes, leading to the identification of numerous missing enzymes and the assignment of specificity to dozens of transporters ([13,17,29,30,31,47]; A.G. Vitreschak *et al.*, unpublished). Finally, there are no reasons to believe that the riboswitch family is restricted to the six known cases, and it is likely that it will increase as more genomes and functional systems are analyzed.

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